



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor: Heinz HORNUNG et al.

Examiner: Otilia GABOR

Serial No: 09/786,195

Art Unit: 2878

Filed: April 27, 2001

Atty Dkt: HORN3049/JEK/JJG

For: METHOD AND DEVICE FOR CONTROLLING PAPER DOCUMENTS OF  
VALUE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner For Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**Additional Documents or Information Not Listed on  
Accompanying Form PTO-1449 Submitted for Consideration**

The search report from the German Patent Office in related German Patent application 198 40 482.4 and the International Search Report in PCT application EP 99/06027 are provided herewith.

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**Concise Statement of Relevancy  
(Non-English Language Documents Only)**

The relevancy of each of the documents cited on the accompanying Form PTO-1449 is provided in either the German Search Report or the International Search Report.

**Submission of Reference Copies**

The Examiner has indicated in the Office Action of July 31, 2003 that the references cited in the International Search Report for PCT application EP 99/06027 have been considered. Accordingly, Applicants assume that the Examiner has already obtained copies of such references and thus copies of these references are not included herewith. In the event that the file of this application is missing copies of the documents mentioned in the International Search Report, the Examiner is encouraged to contact the Applicants to obtain copies of these references.

For the Examiner's convenience, Applicants submit British Patent Specification 1 326 665 corresponding to DE 20 37 755 A which was cited in the International Search Report.

Copies of the references cited in the German Search Report are included herewith.

**Statement of Timeliness**

This Information Disclosure Statement is submitted after three months from (i) the filing date of the above-identified U.S. National Patent application, or (ii) after three months from entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of any Final Action, Notice of

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Allowance, or Action closing prosecution sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(c) and § 1.17(p) is submitted herewith.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

If any issues remain that may be resolved by a telephone or facsimile communication with the Applicants' Attorney, the Examiner is invited to contact the undersigned at the numbers shown below.

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Date: October 31, 2003

IDS.wpd

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Justin J. Cassell', written in a cursive style.

JUSTIN J. CASSELL  
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Customer 23364

Sheet 1 of 1

B/O Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office	Atty. Docket Number	Serial Number
	HORN3049/JEK/JJC	09/786,195
	Applicant	
	Heinz HORNUNG et al.	
Information Disclosure Statement by Applicant	Filing Date	Group
	April 27, 2001	2878

## U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	5,304,813	Apr. 1994				
	5,367,577	Nov. 1994				

## Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	2037755	Feb. 1972	Germany				
	19604856	Aug. 1997	Germany				
	0537513	Apr. 1993	EPO				
	0101115	Feb. 1984	EPO				
	2107911	May 1983	Great Britain				
	1326665	Aug. 1973	Great Britain				

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)


Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.